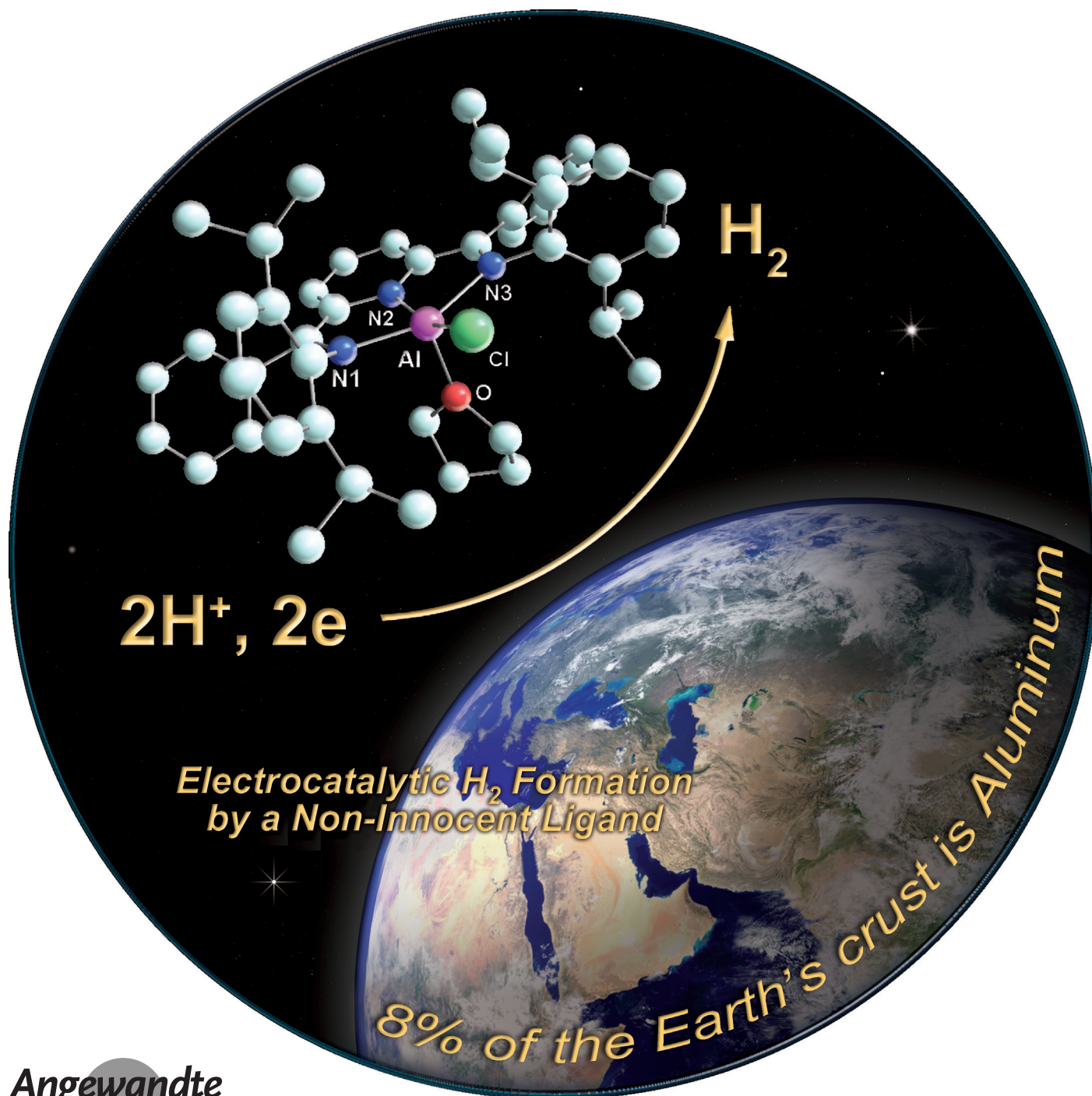




Electrocatalytic Hydrogen Production by an Aluminum(III) Complex: Ligand-Based Proton and Electron Transfer

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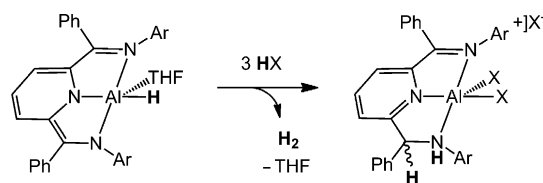
Abstract: Environmentally sustainable hydrogen-evolving electrocatalysts are key in a renewable fuel economy, and ligand-based proton and electron transfer could circumvent the need for precious metal ions in electrocatalytic H_2 production. Herein, we show that electrocatalytic generation of H_2 by a redox-active ligand complex of Al^{3+} occurs at -1.16 V vs. SCE (500 mV overpotential).

A key area of research into fuel-producing electrocatalysts is in the development of effective homogeneous and heterogeneous materials that comprise earth-abundant elements.^[1–3] Work in this area encompasses earth-abundant transition metals such as iron, cobalt, or copper,^[4] organic mediators,^[5] and metal-free graphitic materials.^[6] Redox-active ligands are also organic molecules that have been used in a variety of supporting roles for transition-metal electrocatalysts.^[7] For example, ligand models of NADH have been employed to shuttle hydrides to Ru and Re catalysts.^[8] Ligands with a proton shuttle increase the rates of reaction, and lower the overpotentials, for proton reduction by various Ni, Fe, and Co catalysts.^[9] Ligand-mediated electron transfer that augments electron transfer and catalysis at a redox-active metal center has also been employed, in particular by using Co and Ni.^[10] In all of these examples, the metal ion performs the electron transfer to the substrate, and interacts with the substrate during bond-forming and breaking events.

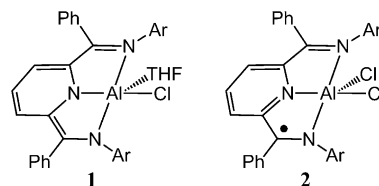
As an alternative approach, a ligand that mediates proton and electron transfer could be coordinated to a positively charged metal center as a route to anodically shifted redox potentials of an organic molecule. Stoichiometric proton–electron transfer reactions at transition-metal complexes have been demonstrated with ligand-based pathways.^[11] Herein, we describe electrocatalytic H_2 evolution at -1.16 V versus SCE, catalyzed with 500 mV of overpotential, by a redox-active ligand complex of Al^{3+} . The role of Al^{3+} is to tune the reduction potential of the ligand to an accessible range for low-overpotential proton reduction. We have previously described that the Al hydride complex $[(^{Ph}I_2P^{2-})Al(THF)H]$ (where $^{Ph}I_2P^{2-}$ is a phenyl-substituted bis(imino)pyridine ligand) can be protonated three times. One of these protons reacts with the Al hydride to afford H_2 , and the other two protons react with the ligand (Scheme 1).^[12]

We now focus on ligand reactivity only, and report herein that the analogous aluminum chloride complex $[(^{Ph}I_2P^{2-})Al(THF)Cl]$ (**1**, Scheme 2)^[13] promotes H_2 production from protons in the presence of an applied reducing potential. We also discuss $[(^{Ph}I_2P^{1-})AlCl_2]$ (**2**),^[14] where the $^{Ph}I_2P$ ligand is reduced by only one electron.

Cyclic voltammograms (CVs) of **1** recorded in a 0.3 M solution of Bu_4NPF_6 in THF show the $[(^{Ph}I_2P^{2-/\beta-})Al(THF)Cl]$ (**1**^{0/1-}) couple at -1.55 V versus SCE (Figure 1a), and a similar experiment performed on **2** shows the $^{Ph}I_2P^{1-/2-}$



Scheme 1. Protonation of $(^{Ph}I_2P^{2-})Al(THF)H$.^[12] Ar = diisopropylphenyl.



Scheme 2. $(^{Ph}I_2P^{2-})Al(THF)Cl$ (**1**),^[13] and $(^{Ph}I_2P^{1-})AlCl_2$ (**2**).^[14] Ar = 2,6-diisopropylphenyl.

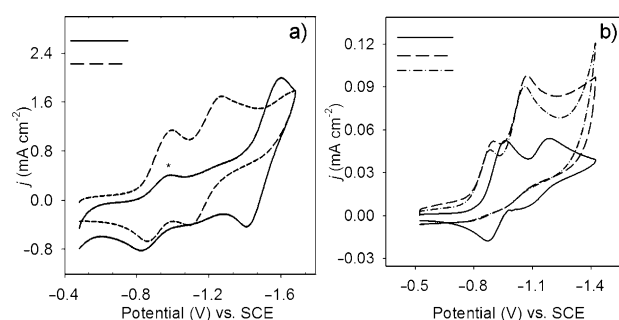


Figure 1. Experiments recorded in 0.3 M solution of Bu_4NPF_6 in THF. Scan rate = 100 mVs⁻¹, glassy carbon working electrode. a) CVs of **1** (solid line) and $H_2I_2^{2+}$ (dashed line). Trace water protonates **1** and some $H_2I_2^{2+}$ are indicated with *. b) CVs of 0.5 mM **2** (solid line), and with aliquots of $[DMAPH]BF_4$ (dashed line: 2.0 mM, dot/dashed line: 5.0 mM).

and $^{Ph}I_2P^{2-/3-}$ couples at -0.9 and -1.15 V, respectively (Figure 1b). Comparison of the data for **1** and **2** shows that the $^{Ph}I_2P^{2-/3-}$ couples are quite different: -1.55 V for **1** and -1.15 V for **2**, and we attribute this to the additional chloro ligand bound to the Al center in **2**.

After addition of two equivalents of 2,6-lutidinium acid ($lutH^+$) to a solution of **1**, two reduction events were observed, namely at -0.95 V and -1.20 V, and the anodic shift of these events compared with reduction of **1** (-1.55 V) is consistent with the additional positive charge on the complex resulting from protonation of the ligand (Figure 1a). With excess $lutH^+$, the current density increased to $j_c/j_p = 10$; this result suggested that a catalytic event had occurred (Figure 2a).^[15] Controlled potential electrolysis (CPE) experiments performed with 0.3 mM **1** and 20 equivalents of acid at -1.16 V over 1.5 h (Table 1 and Figure S1 in the Supporting Information) indicated that H_2 was produced with 70 or 85 % Faradaic efficiency (FE) using $lutH^+$ or 4-dimethylaminopyridinium ($DMAPH^+$), respectively. Quantification of H_2 was performed by using gas chromatography with a thermal conductivity detector (GC-TCD) on samples taken from the headspace of the CPE experiment. To

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Supporting information for this article is available on the WWW under <http://dx.doi.org/10.1002/anie.201503935>.

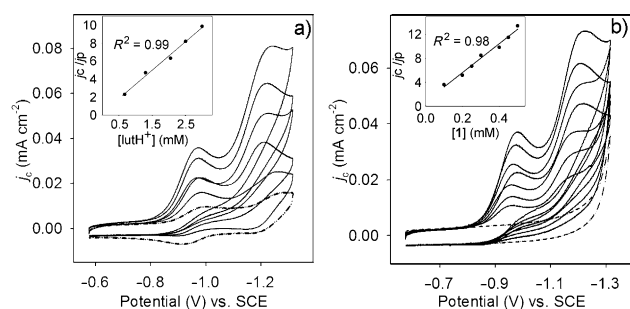


Figure 2. a) CVs of 0.033 mM $[(\text{H}_2\text{I}_2\text{P})\text{AlCl}(\text{lut})_2]^{2+}$ (dashed line), and with aliquots of $[\text{lutH}^+]\text{BF}_4$ (solid lines). Inset: j_c/j_p vs. $[\text{H}^+]$. b) CVs of 4.0 mM lutH^+ (dashed line), and with aliquots of **1** (solid lines). Inset: j_c/j_p vs. $[\text{1}]$. Scan rate = 100 mV s^{-1} , glassy carbon working electrode. Both j_c/j_p plots use data read at -1.19 V vs. SCE.

Table 1: CPE experiments with **1** and **2** over 1.5 h.^[a]

Acid	$E_{1/2} (\text{H}^+ / \frac{1}{2}\text{H}_2)$ [V] ^[b,c]	$\eta^{[d]}$ [mV]	$\eta^{[e]}$ [mV]	TOF [TON/h]	Farad. yield [%]
1 lutH^+	-0.42	780	740	3.3	70 ± 7
1 DMAPH^+	-0.66	540	500	0.38	85 ± 4
2 DMAPH^+	-0.66	520	560	0.03	15 ± 9

[a] Average of at least three experiments. [b] Values reported vs. SCE. [c] Thermodynamic potential for H^+ reduction calculated from pK_a in THF (see the Supporting Information for details).^[15–17] [d] With respect to $E_{\text{cat}/2}$ for reduction of the catalyst.^[18] [e] With respect to applied potential of the CPE experiment: -1.16 V for **1** and -1.22 V for **2**.

maintain a similar overpotential for proton reduction to H_2 , DMAPH^+ was used to study proton reduction with **2**. Upon addition of excess DMAPH^+ to a solution of **2**, an increase in the current density was observed ($j_c/j_p = 4$) (Figure 1b). CPE experiments showed that the FE for H_2 production by **2** is only 15% when using DMAPH^+ (Table 1).

To further probe the disparate reactivity of **1** and **2** in the presence of protons and an applied potential, we turned first to the CPE experiments. Addition of two equivalents of DMAPH^+ to **1** produced a product identified as $[(^{\text{Ph}}\text{H}_2\text{I}_2\text{P})\text{Al}(\text{DMAP})_2\text{Cl}]^{2+}$ ($\text{H}_2\text{1}^{2+}$) by using proton NMR spectroscopy, and comparison with previous reports of protonated I_2P^{2-} ligands (Figure S2).^[12,19] A CPE experiment with **1** and DMAPH^+ (1:20) was next investigated by using proton NMR spectroscopy to characterize a sample taken from the CPE cell following the experiment. The major species in solution was identified as $\text{H}_2\text{1}^{2+}$ (Figure 3). Addition of two equivalents of DMAPH^+ to paramagnetic **2** resulted in a product that appeared diamagnetic by proton NMR spectroscopy (Figure S3), the spectrum obtained closely resembled that of the reaction product of I_2P^- with DMAPH^+ . These experiments suggest that protonation of **2** results in loss of I_2P^- from the Al coordination sphere, which is consistent with the low stability of **2** in CPE experiments (Table 1).

Further mechanistic insight into H_2 evolution by **1** was obtained from CV experiments where successive aliquots of lutH^+ were added to a solution of **1**, and, separately, where additions of **1** were added to a solution of lutH^+ (Figure 2). Plots of j_c/j_p versus $[\text{lutH}^+]$ and j_c/j_p versus $[\text{1}]$ were obtained,

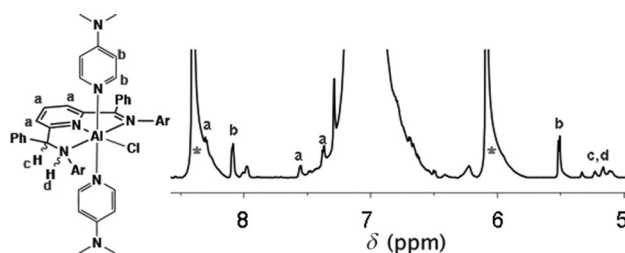


Figure 3. ^1H NMR spectrum of the CPE experiment with **1** after 1.5 h at -1.16 V . The solvent was removed and the residue dissolved in C_6D_6 . The signals for DMAPH^+ are at 8.40 and 6.08 ppm (*, see Figure S4 for the spectrum of DMAPH^+ in C_6D_6). The region upfield from 5 ppm is not shown because of interference from the Bu_4N^+ electrolyte salt.

and showed that the electrocatalytic reaction is pseudo-first-order with respect to acid and first order with respect to **1**, as determined using the relationship described in Equation (1).^[9a,10b,20,21] Similar results were obtained from the analogous experiments performed with DMAPH^+ (Figures S5, S6). In Equation (1), k_{cat} is the observed rate constant, v is scan rate, R is the ideal gas constant, T is temperature, F is Faraday's constant, and $[\text{H}^+]$ is acid concentration.

$$\frac{j_c}{j_p} = \frac{n}{0.446} \sqrt{\frac{RTk_{\text{cat}}[\text{H}^+]^2}{Fv}} = 0.72 \sqrt{\frac{k_{\text{cat}}[\text{H}^+]^2}{v}} \quad (1)$$

We further analyzed the reaction pathway for H_2 evolution by **1** by using stoichiometric experiments. Addition of two equivalents of the reductant sodium anthracenide to $\text{H}_2\text{1}^{2+}$ produced H_2 in 90% yield, as measured by GC-TCD. If just one equivalent of reductant was added to $\text{H}_2\text{1}^{2+}$, no H_2 was evolved. We further analyzed the resulting paramagnetic product $\text{H}_2\text{1}^+$ by using electron paramagnetic resonance (EPR) spectroscopy (Figure 4). The EPR measurements performed at room temperature showed a single signal at $g = 2.0058$ which is consistent with formation of a ligand-based radical. Hyperfine coupling in the spectrum was partially resolved and was best modeled by coupling of the unpaired electron to two nitrogen atoms. The addition of a third nitrogen atom to the simulation did not improve the fit and this result supports a model for $\text{H}_2\text{1}^+$ where a ligand-based radical is delocalized over the pyridyl and imino functional groups (Scheme 3). Our previous reports on the

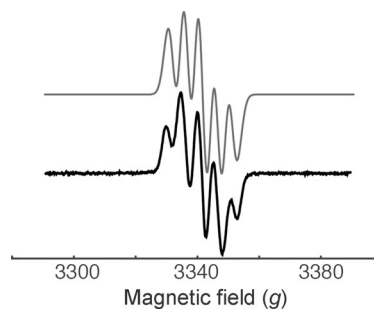
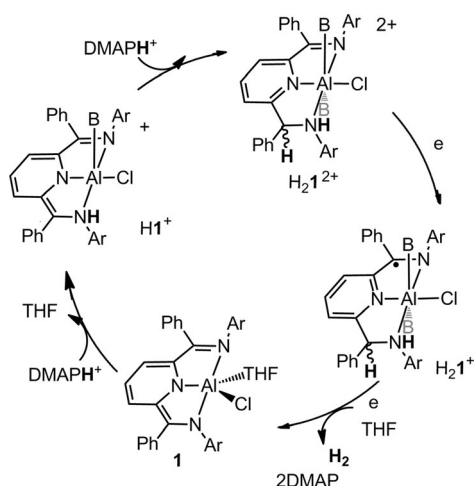


Figure 4. EPR spectrum of $\text{H}_2\text{1}^+$ (below) and simulation (above). Fit parameters: $g = 2.0058$, $A^{\text{N1}} = 14.1407$, $A^{\text{N2}} = 11.7566$.



Scheme 3. Proposed mechanism for H^+ reduction ($\text{B} = \text{DMAP}$).

electronic structure of Al complexes of iminopyridine ligands have also implicated the presence of ligand-based radicals.^[22]

In conclusion, a mechanism for the reduction of protons to H_2 by **1** likely involves two protonation events at the ligand in **1**, followed by a two-electron reduction of the protonated ligand to liberate H_2 (Scheme 2). Thus, we have shown that ligand-based proton and electron transfer can mediate efficient proton reduction, and this work defines a new reaction pathway for electrocatalytic reduction chemistry that may be extended to a range of substrates such as CO_2 or N_2 . Future work will address the design of new catalysts, and the reduction of other small molecule substrates using the principles of ligand-based proton and electron transfer that we have presented here.

Acknowledgements

We thank the University of California, Davis for financial support, and the National Science Foundation (Grant 0840444) for the dual-source X-ray diffractometer. L.A.B. is an Alfred P. Sloan Foundation Fellow. Photo credit: NASA Visible Earth.

Keywords: aluminum · electrocatalysis · hydrogen evolution · N ligands · redox-active ligands

How to cite: *Angew. Chem. Int. Ed.* **2015**, *54*, 11642–11646
Angew. Chem. **2015**, *127*, 11808–11812

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Received: April 29, 2015

Revised: July 6, 2015

Published online: August 6, 2015